#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:

Long et al.

Confirmation No.: 2074

Appl. No.:

10/577,390

Group Art Unit: 1644

Filed:

May 29, 2007

I.A. Filed:

November 4, 2004

For:

ANTAGONIST ANTI-CD40 MONOCLONAL

ANTIBODIES AND METHODS FOR THEIR USE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# REQUEST FOR CORRECTED FILING RECEIPT

Sir:

In reviewing the Filing Receipt for the above-referenced application, Applicants note that errors appear as noted on the attached copy of the Filing Receipt. Specifically,

In the section entitled "Domestic Priority Data As Claimed by Applicant," some of the priority data submitted in the Application Data Sheet concurrently filed with this application has been omitted. After "This application is a 371 of PCT/US04/37152 11/04/2004," please **ADD** the following:

which claims the benefit of 60/517,337; filed 11/4/2003 and 60/525,579; filed 11/26/2003 and 60/565,710; filed 4/27/2004

2) In the Title, "Anti-Cd40" should read as -- Anti-CD40 --.

Applicants request that a Corrected Filing Receipt be issued.

Respectfully submitted,

edie T. Her

Leslie T. Henry

Registration No. 45,714

Customer No. 00826 ALSTON & BIRD LLP

LEGAL02/30550202v1

Bank of America Plaza 101 South Tryon Street, Suite 4000 Charlotte, NC 28280-4000 Tel Raleigh Office (919) 862-2200 Fax Raleigh Office (919) 862-2260

ELECTRONICALLY FILED USING THE EFS-WEB ELECTRONIC FILING SYSTEM OF THE UNITED STATES PATENT AND TRADEMARK OFFICE ON OCTOBER 9, 2007. ALSTON & BIRD

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APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	TOT CLMS	IND CLMS
10/577,390	05/29/2007	1644	4030	035784/309974	54	7

**CONFIRMATION NO. 2074** 

826 ALSTON & BIRD LLP BANK OF AMERICA PLAZA 101 SOUTH TRYON STREET, SUITE 4000 CHARLOTTE, NC 28280-4000

**FILING RECEIPT** \*OC000000025973837\*

Date Mailed: 09/24/2007

Receipt is acknowledged of this nonprovisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Li Long, Hercules, CA; Mohammad Lugman, Danville, CA; Asha Yabannavar, Lafayette, CA; Isabel Zaror, El Cerrito, CA; Bao-Lu Chen, San Ramon, CA; Xiaofeng Lu, Albany, CA; Sang Hoon Lee, Palo Alto, CA; Deborah Hurst, Piedmont, CA;

#### **Assignment For Published Patent Application**

Chiron Corporation, Eneryville, CA

Power of Attorney: The patent practitioners associated with Customer Number <u>00826</u>.

### Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US04/37152 11/04/2004

which claims the benefit of 60/517, 337 filed 11/4/2003 and 60/525,579 filed 11/26/2003

**Foreign Applications** 

and 60/565, 710 filed 4/27/2004

If Required, Foreign Filing License Granted: 09/22/2007

The country code and number of your priority application, to be used for filing abroad under the Pari Convention, is **US10/577,390** 

**Projected Publication Date: 01/03/2008** 

Non-Publication Request: No

Early Publication Request: No

Title

CD40

Antagonist Anti-Od40 Monoclonal Antibodies and Methods for Their Use

**Preliminary Class** 

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### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

**GRANTED** 

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

SEP 2 7 2007



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FIRST NAMED APPLICANT U.S. APPLICATION NUMBER NO ATTY. DOCKET NO. 10/577,390 035784/309974 Li Long

INTERNATIONAL APPLICATION NO.

PCT/US04/37152

I.A. FILING DATE PRIORITY DATE

11/04/2004

**CONFIRMATION NO. 2074 371 ACCEPTANCE LETTER** 

\*OC000000025973838\*

826 ALSTON & BIRD LLP BANK OF AMERICA PLAZA 101 SOUTH TRYON STREET, SUITE 4000 CHARLOTTE, NC 28280-4000

Date Mailed: 09/24/2007

# NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

05/29/2007

05/29/2007

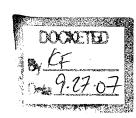
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS

DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 04/27/2006
- Copy of the International Search Report filed on 04/27/2006
- Copy of IPE Report filed on 04/27/2006
- Preliminary Amendments filed on 04/27/2006
- Information Disclosure Statements filed on 04/27/2006
- Biochemical Sequence Diskette filed on 04/27/2006
- Oath or Declaration filed on 05/29/2007
- Biochemical Sequence Listing filed on 04/27/2006
- Request for Immediate Examination filed on 04/27/2006
- U.S. Basic National Fees filed on 04/27/2006
- Priority Documents filed on 04/27/2006



- Specification filed on 04/27/2006
- Claims filed on 04/27/2006
- Abstracts filed on 04/27/2006
- Drawings filed on 04/27/2006
- Paper nucleotide sequence listings filed on 04/27/2006
- Paper Computer Listings filed on 04/27/2006

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

CHARITTA A BURT Telephone: (703) 308-9140 EXT 207

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)